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| UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small> | Attorney Docket No. | | 1776/00039 | |
| | First Named Inventor or Application Identifier | | Fumitaka Sugaya | |
| | Title | A Semiconductor Device And A Method Of Manufacturing The Same | | |
| | Express Mail Label No. | | | |

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| APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents</small> | ADDRESS TO: Assistant Commissioner for Patents Box Applications Washington, DC 20231 |
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1. ☒ Filing Fee as calculated below.
2. ☒ Specification [Total Pages 51]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Pages 33]
4. Oath or Declaration [Total Pages 1]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
- ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
5. ☒ Incorporation By Reference *(useable if Box 4b is checked)* The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program *(Appendix)*
7. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies
8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Priority Claim from Jap 9-116322 filed April 18, 1997

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/059,590

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

| | | | | | |
|---------|---|-----------|----------------|----------|----------------|
| NAME | Pollock, Vande Sande & Amernick, R.L.L.P. | | | | |
| ADDRESS | Suite 800 | | | | |
| | 1990 M Street, N.W. | | | | |
| CITY | Washington | STATE | DC | ZIP CODE | 20036-3425 |
| COUNTRY | U.S.A | TELEPHONE | (202) 331-7111 | FAX | (202) 293-6229 |

Fee Calculation and Transmittal

| (Col 1) | | (Col 2) | | (Col 3) | SMALL ENTITY | | OR | NON-SMALL ENTITY | |
|---|----|---------|-----------|---------|--------------|-------|----------|------------------|-------|
| NO. FILED | | | NO. EXTRA | | RATE | FEE | | RATE | FEE |
| TOTAL | 18 | minus | 20 | = 0 | x9= | \$ | | x18= | \$0 |
| INDEP | 5 | minus | 3 | = 2 | x39= | \$ | | x78= | \$156 |
| ___ First Presentation, Multiple Dependent Claims | | | | | +130= | \$ | | +260= | \$0 |
| Base Filing Fee | | | | | | \$380 | | \$760 | |
| Other Fee (specify purpose) _____ | | | | | | \$ | | \$ | |
| TOTAL FILING FEE* (accounting for possible small entity status) | | | | | | \$ | OR TOTAL | \$916 | |

- ☒ A check in the amount of \$916.00 to cover the filing fee is enclosed.
- ☐ No payment is enclosed at this time. Full payment will be made when the executed Declaration is submitted.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 22-0185 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____ as filing fee
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 CFR § 1.16 and 1.17
- ☐ Charge the Issue Fee set in 37 CFR § 1.18 at the mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b)

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|-------------------|--|-----------------------------------|--------------------|
| Name (Print/Type) | ELZBIETA CHLOPECKA | Registration No. (Attorney/Agent) | 32,767 |
| Signature | <i>Jeffrey G. Kanski</i> ^{for} 42,709 | | Date <i>9/1/99</i> |